

I. Introduction

The aim of this document is to bring you closer to the so-called Brexit and account migration.

Investment services provider:¹ LYNX B.V., Branch, ID no: 02451778, Address: Václavské náměstí 776/10, Nové Město, 110 00 Praha 1, CZ, file no. A 76398 at the Municipal Court in Prague (hereinafter „LYNX“).

II. Info about LYNX

LYNX:

- is an organizational unit (legal form: a branch of a foreign legal entity) and is obliged to unreservedly observe all approved regulations and internal regulations of the parent company LYNX B.V. based in the Netherlands;
- is an obliged entity pursuant to §2/1, point b) point 3 of the AML Act (Act No. 253/2008 Coll., on certain measures against the legalization of proceeds of crime and terrorist financing), and therefore must obtain the documents/information necessary for the AML evaluation and intermediary of the opening of a securities account;
- must act in line with EU law e.g. MiFID II, and therefore requires you to deliver information/documents necessary for such evaluation. LYNX must perform record keeping of information, documents, and communication (electronic, telephone one);
- has implemented a control function (e.g., Compliance, etc.), in particular Compliance check and perform overseeing with rules (internal and legal);
- transparently publishes all necessary information related to the Brexit and accounts' migration process to EU Interactive Brokers entity e.g. IBIE, IBLUX here: <https://www.lynxbroker.sk/brexit-migracia-ibie/> for <https://www.lynxbroker.sk/brexit-migracia-ibluxe/>; for a successful account migration LYNX needs your active consent, you can give it via the link above²;
- protects and processes your personal data in accordance with GDPR, we present the Privacy Statement via web LYNX, you can find [here](#).

III. Content of the new contractual documentation

Based on the Brexit the client contractual documentation was updated by the LYNX. This updated contractual documentation is published via the website www.lynxbroker.sk/dokumenty (name of the document „Klientská zmluva LYNX“, in English “Agreement LYNX”). The contractual documentation is issued in Slovak language and English language. Content of the documentation is mentioned below:

- Agreement LYNX – Client,
- Annexes:
 - Annex 1: Client classification policy LYNX B.V.;
 - Annex 2: Periodic reports;
 - Annex 3: Risk warnings and information on financial instruments;
 - Annex 4: Information about the characteristics and risks of trading on Securities-based Credit;
 - Annex 5: Information about securities lending (IB Stock Yield Enhancement Program);
 - Annex 6: LYNX Client Order Execution Policy;
 - Annex 7: Complaints procedure;
 - Annex 8: Investor Compensation Scheme (ICS).

¹ LYNX is the so-called Introducing broker and information about our company is listed in the List of Regulated and Registered Financial Market Entities at the Czech National Bank (information is available at www.cnb.cz). **Clients** (i.e. customers, statutory representatives, UBO, private investors, retail investors and SME investors, consumers, etc.). Clients, who come from the Czech Republic, Slovakia and Poland are pre-accepted by LYNX according to local applicable law. LYNX does not perform any asset management for clients; LYNX performs pre-acceptance of clients and intermediate the opening of securities accounts with Interactive Brokers (hereinafter “IB”), on whose platform clients trade investment instruments without any LYNX intervention; LYNX does not directly maintain clients' securities accounts.

² If you do not agree with the migration of the account, you can close the account by yourself via trading platform, or the account will be closed automatically by IB.

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IV. Final provision

For more information related to legislative obligations imposed on LYNX and the other useful information memorandums, please visit our website www.lynxbroker.sk , section "Documents" [zde](#).

LYNX reserves the right to update this document on a regular basis; printed version is available at the office of our company. We ask clients to become familiar with this document before establishing a contractual relationship. Thank you