

## I. Introduction

The aim of this document is to bring you closer to the so-called AML 6. directive, which must be implemented by obliged entities.

*Investment services provider:*<sup>1</sup> LYNX B.V., Branch, ID no: 02451778, Address: Václavské náměstí 776/10, Nové Město, 110 00 Praha 1, file no. A 76398 at the Municipal Court in Prague (hereinafter „LYNX“).

## II. EU law

Directive (EU) 2018/1673 of the European Parliament and of the Council of 23 October 2018 on combating money laundering by criminal law, you can find [here](#), known as the „**AML6D**“;

The aim of the AML6D: It defines criminal offences and sanctions in the area of money laundering with a view to: (i) facilitating police and judicial cooperation between EU countries; and (ii) avoiding that criminals take advantage of more lenient legal systems. **It aims to criminalise money laundering when it is committed intentionally and with the knowledge that the property came from criminal activity.** It also allows EU countries to criminalise money laundering where the offender suspected or ought to have known that the property came from criminal activity.

**Applicable local law AML/CFT** you can find e.g. [here](#)<sup>2</sup>

## III. Info about LYNX

LYNX:

- is an organizational unit (legal form: a branch of a foreign legal entity) and is obliged to unreservedly observe all approved regulations and internal regulations of the parent company LYNX B.V. based in the Netherlands;
- is an obliged entity pursuant to §2/1, point b) point 3 of the AML Act (Act No. 253/2008 Coll., on certain measures against the legalization of proceeds of crime and terrorist financing), and therefore must obtain the documents/information necessary for the AML evaluation and intermediary of the opening of a securities account;
- must act in line with EU law e.g. MiFID II, and therefore requires you to deliver information/documents necessary for such evaluation. LYNX must perform record keeping of information, documents, and communication (electronic, telephone one);
- has implemented a control function (e.g., Compliance, etc.), in particular Compliance check and perform overseeing with rules (internal and legal);
- protects and processes your personal data in accordance with GDPR, we present the Privacy Statement via web LYNX, you can find [here](#).

## IV. Final provision

For more information related to legislative obligations imposed on LYNX and the other useful information memorandums, please visit our website [www.lynxbroker.sk](http://www.lynxbroker.sk), section “Documents“ [zde](#).

LYNX reserves the right to update this document on a regular basis; printed version is available at the office of our company. We ask clients to become familiar with this document before establishing a contractual relationship. Thank you

<sup>1</sup> LYNX is the so-called Introducing broker and information about our company is listed in the List of Regulated and Registered Financial Market Entities at the Czech National Bank (information is available at [www.cnb.cz](http://www.cnb.cz)). **Clients** (i.e. customers, statutory representatives, UBO, private investors, retail investors and SME investors, consumers, etc.). Clients, who come from the Czech Republic, Slovakia and Poland are pre-accepted by LYNX according to local applicable law. LYNX does not perform any asset management for clients; LYNX performs pre-acceptance of clients and intermediate the opening of securities accounts with Interactive Brokers, on whose platform clients trade investment instruments without any LYNX intervention; LYNX does not directly maintain clients' securities accounts.

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<sup>2</sup> In Slovakia, the AML Act is similar as in the Czech Republic - see the link above, namely Act No. 297/2008 Coll., The Act on Protection against Money Laundering and on Protection against Terrorist Financing and on Amendments to Certain Acts (you can find via: <https://www.slov-lex.sk/pravne-predpisy/>)