

*Investment services provider:*¹ LYNX B.V., Branch (*legal form*: a branch of a foreign legal entity), ID no: 02451778, Address: Za Hanspaulkou 879/8, 160 00 Praha 6-Dejvice, Czech Republic, file no. A 76398 at the Municipal Court in Praha (hereinafter „**LYNX**“), regulated by the Czech National Bank, www.cnb.cz

Parent company: LYNX B.V., having its registered office and principal place of business at Herengracht 527 in (1017 BV) Amsterdam, the Netherlands, listed in the Commercial Register of the Chamber of Commerce under number 34253246, holder of a licence as referred to in Article 2:96 of the Financial Supervision Act on the basis of which it may act as an investment firm and is registered as such in the register kept by the Netherlands **Authority for the Financial Markets** (hereinafter "AFM", www.afm.nl)

Law

NBS mentioned: <https://nbs.sk/dohlad-nad-financnym-trhom/dohlad/ochrana-pred-legalizaciou-prijmov-z-trestnej-cinnosti-a-pred-financovanim-terorizmu/legislativa-aml-cft/>

Note: Slovak LYNX Branch is only virtual branch. Your personal data provided LYNX, as well as the information/documents have been processed via the physical branch LYNX Czech Republic located in the CR, <https://www.lynxbroker.cz/>².

LYNX:

- is an organizational unit and is obliged to unreservedly observe all approved regulations and internal rules of the parent company LYNX B.V. based in the Netherlands; applicable is mainly Dutch law.
- is an **obliged AML entity** pursuant the **AML Act**, and therefore must obtain the identification documents, documents/information necessary for the AML evaluation and intermediary of the opening of a securities account; identification, due diligence, record keeping is in line with AML Act. LYNX must act in line with EU law e.g. **MiFID II**, and therefore requires you to deliver information/documents necessary for such evaluation. LYNX must perform record keeping of information, documents, and communication (electronic, telephone one);
- has implemented a control function (e.g., Compliance, etc.), in particular Compliance check and perform overseeing with rules (internal and legal);
- protects and processes your personal data in accordance with GDPR, we present the Privacy Statement via web LYNX, you can find here: <https://www.lynxbroker.sk/ochrana-osobnych-udajov/>

For more information related to legislative obligations imposed on LYNX and the other useful information memorandums, please visit our website <https://www.lynxbroker.sk/dokumenty/> . LYNX reserves the right to update this document on a regular basis; printed version is available at the office of our company.

We ask clients to become familiar with this document before establishing a contractual relationship. Thank you

¹ LYNX is the so-called Introducing broker and information about our company is listed in the List of Regulated and Registered Financial Market Entities at the Czech National Bank (information is available at www.cnb.cz). **Clients** (i.e. customers, statutory representatives, UBO, private investors, retail investors and SME investors, consumers, etc.). **Clients, who come from the Czech Republic, Slovakia and Poland are pre-accepted by LYNX according to the applicable law.** LYNX does not perform any asset management for clients; LYNX performs pre-acceptance of clients and intermediate the opening of securities accounts with Interactive Brokers, on whose platform clients trade investment instruments without any LYNX intervention; LYNX does not directly maintain clients' securities accounts.

² Used is applicable law e.g. Wwft, Wft